



Compatible with Synaptic Systems Software

Personal Ethical Fact Find

Name

Date / /

1) The Issues

i) Which of the following company activities would you like to aim to support?

Company Activity	Activity supported by Fund
Basic Necessities	Yes / No
Community Involvement	Yes / No
Environmental Conservation	Yes / No
Equal Opportunities	Yes / No
Healthcare & Safety	Yes / No
Positive Labour Relations	Yes / No
Training & Education	Yes / No

ii) Which of the following company activities would you like to aim to avoid investing in?

Company Activity	Activity avoided by Fund
Alcohol Production	Yes / No
Animal Intensive Farming – Retail	Yes / No
Animal Intensive Farming - Wholesale	Yes / No
Animal Testing	Yes / No
Environmental Abuse	Yes / No
Financial Services	Yes / No
Gambling	Yes / No
Human Rights Abuse	Yes / No
Military	Yes / No
Nuclear Energy	Yes / No
Pornography	Yes / No
Tobacco	Yes / No

Note to Advisers: Further information on fund policies is available via Synaptic Systems if provided by product providers (including information on Genetically Modified Organisms).

2) Engagement

- i) Is it important to you whether a fund manager is involved in trying to encourage better business practices? Yes No
- ii) Would you like details of the types of engagement activity the fund manager has been involved in? Yes No
- iii) Would you like to know more about the way in which the fund manager goes about this? Yes No

Note to Advisers: Further information is available via Synaptic Systems if provided by product providers. Detailed information should also be available direct from the product provider or fund manager.

3) Behind the Scenes Activity

- i) Is the way a screened fund decides what is and is not considered ethical, including the power and role of, external experts, important to you? Yes No
- ii) Is it important to you whether the companies which are considered for an ethical fund are decided by external specialists? Yes No

Note to Advisers: If this is important, the client might prefer decisions to be made or be overseen by an external committee (their role, however, varies, and can range from advisory to full veto power).

- iii) Would you prefer to invest in a fund which employs an in-house ethical research team (often in addition to external experts)? Yes No

4) Broader Fund Manager/ Product Provider Activity

The following areas can be indicators of a fund manager or product provider's commitment to responsible practices, please indicate which ones you would like to know more about:

- i) Whether they have a publicly available "corporate social responsibility" report? Yes No
- ii) Whether they always use their voting rights at the AGMs of the companies they invest in? Yes No
- iii) The aims and objectives of their voting practices? Yes No
- iv) Whether they make their voting record publicly available? Yes No
- v) Whether they support the development of SRI through membership of the UK Social Investment Forum? Yes No

Synaptic Systems offer the functionality to identify suitable ethical funds to all "Fund Manager" users as standard. If you are not one of the 40% of IFAs already using Synaptic Research Tools, for a full free trial please contact sales@synaptic.co.uk